

END-TO-END TRACKING & VISIBILITY. IMPROVE CLIENT SERVICING.

The investment and wealth management industry is under pressure from all sides. Regulators scrutinize compliance more than ever. Clients expect excellent service and flawless transactions. The complexity of the business requires multiple departments to be involved in processing critical work. At the same time, performance metrics are largely based on associates' own assessment versus data-driven metrics.

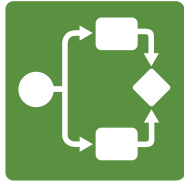
The XTRAC® Workflow Suite provides a proven solution to track, route and control work across your organization. Whether onboarding a new client, addressing a compliance audit or completing a financial report, each step in the process is standardized and streamlined. You have full visibility into your end-to-end operations to pinpoint problems and address them immediately. With XTRAC, you are able to be more efficient, get control and grow your business.

Built and used by Fidelity Investments, and used by many other companies, XTRAC supports processes across multiple functions, with dozens available as ready-to-use Workflow Packs:

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| CLIENT ACCOUNT SERVICING & TRANSACTIONS | <p>Automate and streamline each client touch point from initial on-boarding to ongoing servicing.</p> <ul style="list-style-type: none"> • New Client Onboarding • Account Opening • Money Movements • Trade Processing • Account Maintenance • Client Inquiry |
| GOVERNANCE, RISK & COMPLIANCE | <p>Gain control with standardized regulatory responses, audit reporting and policy management.</p> <ul style="list-style-type: none"> • Quality Review • Annual Audit Report Preparation • Controls Review • Regulatory Inquiry Response |
| FINANCIAL OPERATIONS & ADMINISTRATION | <p>Manage, track and control financial and contractual operations more efficiently.</p> <ul style="list-style-type: none"> • Internal Financial Reporting • Client Billing Management • Vendor Setup and Maintenance • RFP/Contract Administration |
| MARKETING & COMMUNICATIONS | <p>Speed up and fully track marketing content development as well as campaign planning.</p> <ul style="list-style-type: none"> • Forms Development/Changes • Product Collateral • Web, Advertising and PR • Client and Internal Communications • Campaign Planning • Vendor and Financial Management |
| HUMAN RESOURCES MANAGEMENT | <p>Easily manage people, policies and systems with automated human resources processes.</p> <ul style="list-style-type: none"> • HR Inquiry • Talent Requisition • Staff Onboarding • Periodic Associate Review • Employment Termination |

PROVEN PROCESSES. SIMPLY DELIVERED.

XTRAC provides investment and wealth management firms with an industrial-strength – and yet easily accessible – workflow solution to be more efficient, get control and grow.



Workflow Automation



Hosted Document Management



Insights & Analytics

BENEFITS FOR INVESTMENT AND WEALTH MANAGEMENT FIRMS:

- Increased customer satisfaction
- Lower operational costs
- Reduced regulatory risk
- Greater agility
- Faster transaction time
- Reduced IT capital costs
- Improved scalability

Comprehensive Solution

XTRAC is a robust workflow solution that includes Workflow Automation, Hosted Document Management and Insights & Analytics. It provides tracking, routing and control of work across employees, departments and offices. XTRAC integrates with front-end applications, record-keeping and financial systems for a seamlessly connected organization.

Expertise Built In

With XTRAC, investment and wealth management firms can access the very same engine that Fidelity Investments, a global financial services leader, uses to manage its own business processes every day. Our experience and expertise in managing mission-critical processes are available to help power your business too.

*To learn more about how XTRAC can help investment and wealth management firms streamline and gain greater control over their business, please contact us at sales@xtracsolutions.com or **855-WRK-FLOW**.*

Fast, Easy and Cost-Effective to Deploy

XTRAC is simply delivered as an affordable, cloud-based service – there is no costly software or hardware to buy, install or maintain. You can be up and running quickly and scale as needed.

Highly Secure and Reliable Cloud

XTRAC provides an unsurpassed level of data security, disaster recovery and availability to its clients through a highly secure cloud environment. XTRAC is fully supported by the comprehensive state-of-the-art data and physical system security, application availability and system redundancy standards of Fidelity Investments.

About XTRAC Solutions

XTRAC Solutions, an independently operated Fidelity Investments company, provides workflow automation and document management. With its solutions used extensively across Fidelity and by many other companies, XTRAC has over 20 years of industry experience managing complex, mission-critical processes that support thousands of users and millions of work items annually. XTRAC delivers excellence in business results and customer satisfaction for all its global clients.

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